

**Abstract**

**Background**  
Patients undergoing allogeneic stem cell transplantation (aSCT) are at high risk of invasive fungal disease (IFD). Optimization of antifungal prophylaxis strategies may further improve patient outcomes and reduce treatment costs.

**Methods**  
We performed a retrospective single-center pharmacoeconomic evaluation on receiving patients who received either posaconazole oral solution plus micafungin as intravenous bridging or received POS-MIC to patients on voriconazole (VIC) as antifungal prophylaxis after aSCT at the University Hospital of Cologne. Epidemiological, clinical, and direct treatment cost data extracted from the Cologne Cohort of Neoplastic Patients (CoCoNet) were analyzed. Revised 2008 EORTC/NCIC criteria were used for classification of IFD.

**Results**  
During the observation period from 01/2010 to 12/2015, 313 patients (87 patients in the POS-MIC and 216 patients in the MIC group) fulfilled the inclusion criteria. Most patients were male (n=174; 56%) and median age was 52 years (range 18–75 years). Acute myeloid leukemia was the most common underlying disease (n=146; 47%) in the POS-MIC group, median overall length of stay (LOS) was 42 days (IQR: 35–52 days), vs. 40 days (IQR: 35–49 days; P=0.296), resulting in median overall direct treatment costs of €42,964 (IQR: 15,040–€56,348), vs. €43,291 (IQR: €37,281 vs. €53,848; P=0.993), respectively. In both groups, possible IFD occurred in 6 patients (8%) vs. 16 patients (7%; P=0.660) and probable IFD occurred in 5 patients (5%) vs. 3 patients (1%; P=0.051). Overall in-hospital mortality rates in the POS-MIC and MIC group were 10% (n=10) and 9% (n=9), Kaplan-Meier analysis showed improved outcome of patients who received MIC at day 100 (P=0.037) and at day 365 (P=0.001) following aSCT. Multivariable cox-regression model demonstrated treatment on ICU as the most important independent covariate for mortality at day 100 (HR:8.08; P<0.001) and at day 365 (HR:4.70; P<0.005).

**Conclusion**  
We observed a higher mortality in patients receiving POS-MIC instead of MIC, which was not explained by breakthrough IFDs. The higher drug acquisition costs of micafungin compared to posaconazole oral solution did not translate into higher overall direct treatment costs.

**Introduction**

Invasive fungal diseases (IFDs) are a major cause of morbidity and mortality in patients undergoing allogeneic stem cell transplantation (aSCT), resulting in a significant healthcare burden and a 12-week mortality rate of 15% (1,2).

This is mainly represented by a prolonged hospital length of stay (LOS) especially on the intensive care unit (ICU) and the need of expensive new class antifungal agents. Past studies reported additional direct treatment costs of patients with IFD of up to €33,000 (3,5).

Especially long-term immunosuppressed patients, such as patients undergoing aSCT, are at high risk to develop an IFD (6). Effective antifungal prophylaxis strategies after aSCT are needed to improve patient outcome as well as to prevent high treatment costs for each healthcare system.

Two recently published studies demonstrated cost-effectiveness of an antifungal prophylactic regimen using posaconazole oral solution in combination with micafungin bridging (e.g. due to severe mucositis/low posaconazole plasma levels) (7,8).

The use of azole class antifungals is associated with interactions with typical immunosuppressants (9). Especially during engraftment, stable drug levels of immunosuppressants are considered important to avoid graft-versus-host disease (GVHD) or graft rejection.

Our current health economic evaluation analyzed the economic and clinical impact of uninterrupted, continuous micafungin for antifungal prophylaxis after aSCT.

**Methods**

**Setting**  
This monocentric cohort analysis was carried out at the University Hospital of Cologne (UHC).

Patients >18 years of age and treated at the Department of Internal Medicine via aSCT between 01/2010 and 12/2015 and who received posaconazole oral solution with micafungin as intravenous bridging (POS-MIC, standard group) or only micafungin as antifungal prophylaxis (MIC, experimental group).

All patient data (age, epidemiology, clinical parameters) were extracted from the Cologne Cohort of Neoplastic Patients (CoCoNet; registered at ClinicalTrials.gov: NCT01821456) and our local hospital information system.

**Study objective**  
Primary objective of the study was to analyze cost-effectiveness of POS-MIC vs. MIC. Therefore, a micro-costing approach was used, meaning that all relevant direct treatment costs factors were analyzed.

Secondary objectives were to compare between overall length of stay (LOS) from admission to discharge, patient outcome, the duration of antifungal treatment, and incidence of possible, probable, and proven IFD (EORTC/NCIC criteria) between both groups (10).

**Health economic analysis**  
The health economic analysis was performed from the German societal perspective as recommended by national guideline (11), whereby indirect costs were disregarded due to the scarcity of underlying diseases.

Due to a timeframe of 1 year, discounting of all costs with an annual discount rate of 5% was performed. A further sensitivity analysis with different discount rates (0%, 3%, 10%, 16% per year) was additionally carried out. All costs were expressed in € (Euro), year 2015 as reference year.

For statistical analysis, IBM SPSS Statistics software version 23.0 (IBM Corp., Armonk, NY, USA) was used.

A Kaplan-Meier analysis and log-rank test were used for detection of differences in survival rate at day 100 and 365 after aSCT between the two groups.

For multivariable analysis, a cox regression was carried out to analyze the impact of covariates on survival at day 100 and 365 after aSCT.

**Results**

**Table 1: Patient characteristics (N= 313)**

Item	POS-MIC group (n=97)	MIC group (n=216)	P
Age in years, median (range)	50 (18–75)	56 (18–75)	<b>0.019*</b>
Female gender, n (%)	44 (45)	95 (44)	0.820**
Height in cm, median (IQR)	173 (165–180)	173 (165–180)	0.975*
Weight in kg, median (IQR)	71 (62–85)	74 (64–90)	<b>0.121*</b>
Underlying disease, n (%)			<b>0.169**</b>
-ALL	18 (19)	16 (7)	
-AML	38 (39)	108 (50)	
-CLL	5 (5)	10 (5)	
-CMML	6 (6)	9 (4)	
-Hodgkin lymphoma	3 (3)	1 (1)	
-MDS	4 (4)	13 (6)	
-Multiple myeloma	1 (1)	6 (3)	
-Non-Hodgkin lymphoma	9 (9)	29 (13)	
-Other	9 (9)	15 (7)	
Donor type, n (%)			<b>0.183**</b>
-MRO	28 (29)	48 (22)	
-MUD	52 (54)	112 (52)	
-MHLUD	16 (17)	43 (20)	
-MMRO	0	10 (5)	
-Monozygotic	1 (1)	3 (2)	
HLA mismatch, n (%)			<b>0.018**</b>
-10/10	85 (88)	161 (75)	
-9/10	8 (8)	46 (21)	
-≤8/10	4 (4)	9 (4)	
GVHD (intestinal), n (%)			<b>0.085**</b>
-None	79 (81)	178 (82)	
-Grade 1–2	9 (9)	30 (14)	
-Grade 3–4	9 (9)	8 (4)	
GVHD (skin), n (%)			<b>&lt;0.001**</b>
-None	56 (58)	123 (57)	
-Grade 1–2	12 (13)	119 (55)	
-Grade 3–4	9 (9)	45 (21)	
GVHD (liver), n (%)			<b>0.201**</b>
-None	92 (95)	213 (99)	
-Grade 1–2	3 (3)	2 (<1)	
-Grade 3–4	2 (2)	1 (<1)	
Duration of neutropenia days, median (IQR)	20 (16–19)	20 (14–25)	<b>0.158*</b>
Duration of fever in days, median (IQR)	4 (2–7)	3 (2–7)	<b>0.681*</b>
Patients with mechanical ventilation, n (%)	6 (6)	17 (8)	<b>0.597**</b>
Breakthrough IFD, n (%)			<b>0.051**</b>
-Possible	6 (6)	16 (7)	
-Probable/Proven	5 (5)	3 (1)	
Inpatient mortality, n (%)	10 (10)	9 (4)	<b>0.035**</b>

\*Based on EORTC/NCIC criteria (10); \*\*Mantel-Haenszel test; \*\*\*Pearson's Chi-Sq test (two-sided); significant values are marked in bold

- > Our sensitive analysis of different discount rates per year confirmed the result of non-significant differences in overall treatment costs between the POS-MIC and MIC group.
- > Cox-regression analysis treatment on ICU as the most important predictor for mortality at day 100 (HR:8.08; 95% CI: 4.36–15.69; P<0.001) and day 365 (HR:4.70; 95% CI: 3.04–7.46; P<0.001) subsequent aSCT.
- > Patients in the POS-MIC group had a significantly higher risk for death at day 365 (HR: 2.5; 95% CI: 1.67–3.76; P<0.001), whereby no significant impact of choice of antifungal prophylaxis regimen could be shown 100 days following aSCT (HR:1.77; 95% CI: 0.91–3.44; P=0.091).
- > Occurrence of breakthrough IFD was associated with increased mortality at day 100 (HR: 3.30; 95% CI: 1.57–7.01; P=0.002) and day 365 (HR: 2.02; 95% CI: 1.18–3.46; P=0.013) following aSCT.

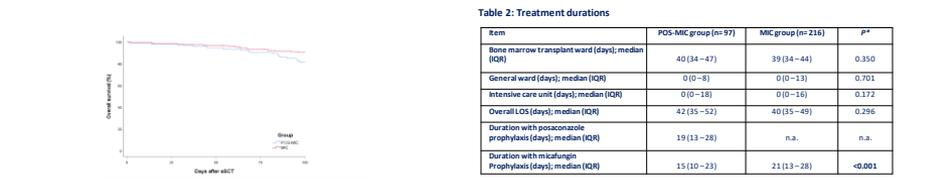


Figure 2: Kaplan-Meier plot showing overall survival rates (%) until day 365 after aSCT for the POS-MIC group (blue line) and MIC group (red line). Log-rank test: P=0.885

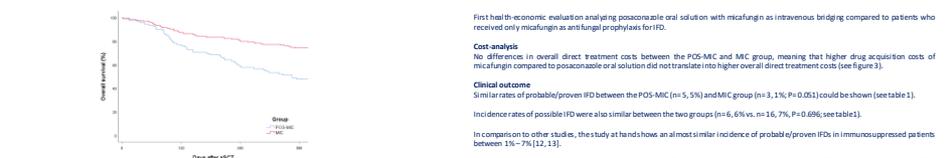
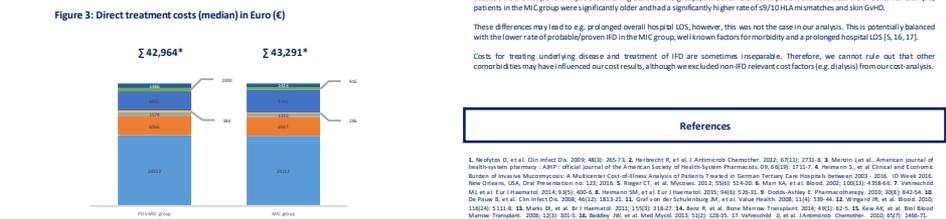


Figure 3: Kaplan-Meier plot showing direct treatment costs (median) in Euro (€) until day 365 after aSCT for the POS-MIC group (blue line) and MIC group (red line). Log-rank test: P=0.002



\*n=1000 bootstrapped 1000 replications; rounded

Legend:  
 - Breakthrough IFD  
 - Inpatient mortality  
 - Drug acquisition costs  
 - Indirect treatment costs  
 - Mechanical ventilation costs  
 - Patient care costs  
 - Breakthrough IFD costs  
 - Inpatient mortality costs  
 - Drug acquisition costs  
 - Indirect treatment costs  
 - Mechanical ventilation costs

**Table 2: Treatment durations**

Item	POS-MIC group (n=97)	MIC group (n=216)	P*
Bone marrow transplant ward (days), median (IQR)	40 (34–47)	39 (34–44)	0.350
General ward (days), median (IQR)	0 (0–8)	0 (0–13)	0.701
Intensive care unit (days), median (IQR)	0 (0–18)	0 (0–16)	0.372
Overall LOS (days), median (IQR)	42 (35–52)	40 (35–49)	0.296
Duration with posaconazole prophylaxis (days), median (IQR)	19 (13–28)	n.a.	n.a.
Duration with micafungin prophylaxis (days), median (IQR)	15 (10–23)	21 (13–28)	<b>&lt;0.001</b>

\*Mantel-Haenszel test; significant values are marked in bold

**Discussion**

First health economic evaluation analyzing posaconazole oral solution with micafungin as intravenous bridging compared to patients who received only micafungin as antifungal prophylaxis for IFD.

**Cost analysis**  
No differences in overall direct treatment costs between the POS-MIC and MIC group, meaning that higher drug acquisition costs of micafungin compared to posaconazole oral solution did not translate into higher overall direct treatment costs (see figure 3).

**Clinical outcome**  
Similar rates of probable/proven IFD between the POS-MIC (n=5, 5%) and MIC group (n=3, 1%; P=0.051) could be shown (see table 1). Incidence rates of possible IFD were also similar between the groups (n=6, 6% vs. n=16, 7%; P=0.066; see table 1).

In comparison to other studies, the study at hand shows an almost similar incidence of probable/proven IFDs in immunosuppressed patients between 1%–7% (12, 13).

Improved survival rates of patients in the MIC group. A significantly higher survival rate at discharge (P=0.035) as well as 100 days (P=0.037, log-rank test) and 365 days (P<0.001; log-rank test) following aSCT could be shown (see figure 1 & 2).

Our cox-regression model demonstrated that the choice of antifungal prophylactic regimen had a major impact on patient outcome. Patients receiving POS-MIC had a significantly higher risk for mortality 365 days after aSCT.

The most important predictor for mortality at day 100 and 365 following aSCT was treatment on ICU, which is in line to other published studies demonstrating high mortality rates of aSCT patients admitted to ICU (14, 15).

**Livelihoods**  
Because we did not perform a patient matching between the groups, several differences in patient characteristics were found. For example, patients in the MIC group were significantly older and had a significantly higher rate of 9/10 HLA mismatches and skin GVHD.

These differences may lead to e.g. prolonged overall hospital LOS, however, this was not the case in our analysis. This is potentially balanced with the lower rate of probable/proven IFD in the MIC group, well-known factors for morbidity and a prolonged hospital LOS (5, 16, 17).

Costs for treating underlying disease and treatment of IFD are sometimes irreparable costs. Therefore, we cannot rule out that other comorbidities may have influenced our study results, although we excluded non-ICU (relevant) cost factors (e.g. drug) from our cost analysis.

**References**

1. Neely DE, et al. Clin Infect Dis. 2009; 48(12): 205–71. 2. Vehreschild B, et al. Antimicrob Chemother. 2012; 67(12): 2710–3. 3. Heimes S, et al. Clin Infect Dis. 2011; 52(10): 1217–21. 4. Heimes S, et al. Clin Infect Dis. 2011; 52(10): 1217–21. 5. Heimes S, et al. Clin Infect Dis. 2011; 52(10): 1217–21. 6. Heimes S, et al. Clin Infect Dis. 2011; 52(10): 1217–21. 7. Heimes S, et al. Clin Infect Dis. 2011; 52(10): 1217–21. 8. Heimes S, et al. Clin Infect Dis. 2011; 52(10): 1217–21. 9. Heimes S, et al. Clin Infect Dis. 2011; 52(10): 1217–21. 10. Heimes S, et al. Clin Infect Dis. 2011; 52(10): 1217–21. 11. Heimes S, et al. Clin Infect Dis. 2011; 52(10): 1217–21. 12. Heimes S, et al. Clin Infect Dis. 2011; 52(10): 1217–21. 13. Heimes S, et al. Clin Infect Dis. 2011; 52(10): 1217–21. 14. Heimes S, et al. Clin Infect Dis. 2011; 52(10): 1217–21. 15. Heimes S, et al. Clin Infect Dis. 2011; 52(10): 1217–21. 16. Heimes S, et al. Clin Infect Dis. 2011; 52(10): 1217–21. 17. Heimes S, et al. Clin Infect Dis. 2011; 52(10): 1217–21.