



# Acquisition of TDF-Susceptible HIV Despite High Level Adherence to Daily TDF/FTC PrEP as Measured by Dried Blood Spot (DBS) and Segmental Hair Analysis

SE Cohen<sup>1,2</sup>, D Sachdev<sup>1,2</sup>, S Lee<sup>2</sup>, S Scheer<sup>1</sup>, O Bacon<sup>1</sup>, MJ Chen<sup>1</sup>, CO Norvell<sup>2</sup>, H Okochi<sup>2</sup>, PL Anderson<sup>3</sup>, S Coffey<sup>2</sup>, H Scott<sup>1,2</sup>, D Havlir<sup>2</sup>, M Gandhi<sup>2</sup>  
<sup>1</sup>San Francisco Department of Public Health, <sup>2</sup>University of California, San Francisco, <sup>3</sup>University of Colorado, Aurora, CO

STD Prevention and Control Services  
 San Francisco Dept. of Public Health  
 356 7th Street  
 San Francisco, CA 94103  
 Phone: (415) 487-5503  
 Fax: (415) 487-5581  
 Website: [www.sfcityclinic.org](http://www.sfcityclinic.org)  
 E-mail: [stephanie.cohen@sfdph.org](mailto:stephanie.cohen@sfdph.org)



## Background

- Pre-exposure prophylaxis (PrEP) with emtricitabine/tenofovir (TFV) disoproxil fumarate (FTC/TDF) is highly protective against HIV infection
- Five prior published case reports of HIV acquisition despite high level adherence to PrEP confirmed by drug level testing
- In four of these five prior published cases, the virus had an M184V mutation in reverse transcriptase, conferring resistance to FTC
- Three of these four viruses also had resistance mutations causing reduced susceptibility to TDF (K70R or K65R)

## Case

- A 21 year-old Latino man who has sex with men, cis women and trans women partners, initiated oral daily PrEP at a municipal STD clinic. At PrEP enrollment, he had a negative rapid HIV Ab test and HIV RNA via a standard HIV pooling algorithm.
- Patient (pt) was prescribed 30 days of FTC/TDF with 2 refills; instructed to return every 3 months for follow-up. Pt HIV-negative (by both rapid Ab and pooled HIV RNA) at 3, 6 and 10 months after PrEP initiation. At month 12, he was diagnosed with and treated for urethral gonorrhea (GC); all other STD testing was negative.
- At his month 13 PrEP visit, pt reported recent methamphetamine use and condomless receptive anal sex with a male partner, both for 1st time in > 1 year. Reported excellent PrEP adherence. Rapid HIV Ab negative. PrEP prescription renewed. 5 days after this visit, HIV RNA returned at 559 copies/mL.
- Pt promptly notified of result, linked to care and immediately initiated on once daily antiretroviral treatment (ART) with FTC/TAF, dolutegravir, darunavir and ritonavir. At that visit, HIV RNA 1544 copies/ml; HIV Ag/Ab and confirmatory HIV 1/2 differentiation antibody positive (Figure 1).
- Pt participated in partner services (PS); one of four of his named partners was known to be living with HIV and not in care (NIC)

## Methods and Results

### METHODS

- HIV genotyping/ phenotyping conducted by Monogram Biosciences® from plasma from day of first positive HIV RNA (Phenosense GT™) and ART initiation visit (GenoSure MG®)
- Hair (collected on day of ART initiation) and dried blood spots (DBS) (collected 2 days after) analyzed for PrEP or PrEP drug metabolite levels using liquid chromatography/tandem mass spectrometry (LC-MS/MS)
- Stored plasma from the 10-month visit tested for FTC/TFV levels via LC-MS/MS and for HIV RNA using an integrase single-copy assay (iSCA)
- Single-genome sequencing (SGS) was performed on proviral DNA obtained from PBMCs collected 7 days after ART initiation to look for minority resistance mutations and estimate duration of infection

### RESULTS of DRUG LEVEL TESTING (Also see Figures 1 and 2)

Specimen	Collection date	Results (See Figures 1 and 2)	Interpretation
Plasma	12 weeks prior to HIV diagnosis	TFV = 188.2 ng/mL FTC = 870.5 ng/mL	Recent dosing preceding visit
Segments of cut hair	Day of ART initiation	TFV levels all > 0.038 ng/mg	Consistently high PrEP adherence over preceding 6 months
Dried Blood Spots	2 days after ART initiation	TFV-DP = 1012 fmol/punch FTC-TP = 0/266 fmol/punch	≥ 4 doses/wk on average over preceding 6 weeks

### RESULTS of DRUG RESISTANCE TESTING

- GenoSure® MG: RT mutations L74V, L100I, **M184V**, and K103N
- Phenosense GT™: Susceptibility to TDF (IC<sub>50</sub> 0.377; fold change 0.39) and resistance to FTC
- SGS: Consistent with GenoSure® MG with L74V, L100I, **M184V**, K103N; K65R and K70E not detected
- Genetic diversity of the proviral population (excluding APOBEC-3G induced G>A hypermutants) was 0.001, suggestive of acute infection & transmission within the previous few weeks

Figure 1. Timeline of events

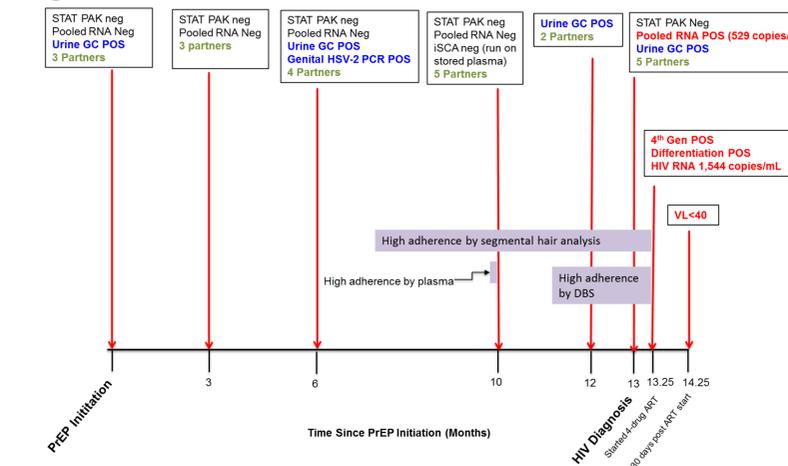
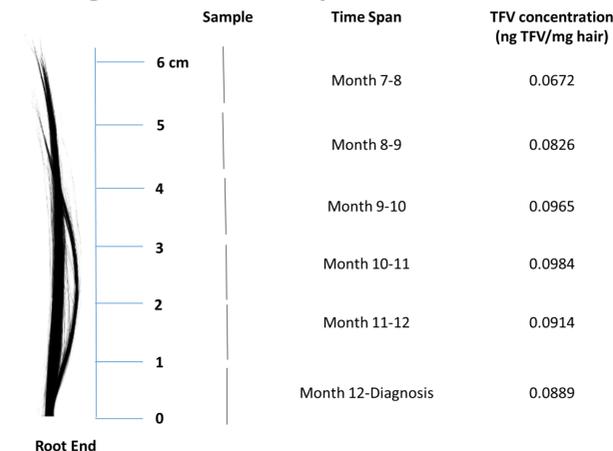


Figure 2. Segmental hair analysis



### OUTCOMES of PARTNER SERVICES

- NIC named partner re-linked to care
- NIC partner's VL at re-linkage visit: 15,000 copies/ml
- Partner's HIV genotype (mandatorily reported to surveillance) had the same RT mutations as the case patient

## Summary

- We report the 6<sup>th</sup> case of HIV seroconversion despite high adherence to daily FTC/TDF-based PrEP
- This is the 3<sup>rd</sup> case in which transmitted viral strain genotypically susceptible to TDF
- Thorough evaluation including: Drug level testing from DBS, hair and plasma; genotypic and phenotypic resistance and SGS; highly sensitive HIV testing at PrEP initiation and throughout PrEP use; identification of likely transmission partner during PS, confirming resistance was transmitted and not acquired on PrEP

## Implications

- HIV acquisition can occur on FTC/TDF-based PrEP in the setting of FTC-resistance, even when adherence is high and the virus is susceptible to TDF
- Individuals taking PrEP and health care providers should be aware that PrEP failure is very rare, but not impossible, even with consistent adherence

## Funding and Acknowledgements

NIAIDS/NIH (MG: 2R01AI098472 and RMG: R01AI118575); NCI and Office of AIDS Research, the UCSF CFAR (P30 AI027763); NIGMS/NIH (SAL: K23GM112526); UCSF CTSI (UL1 RR024131). We thank the patient, the PrEP team and providers at SF City Clinic and Ward 86 Clinic. We thank Sharon Maartens at Monogram Biosciences Clinical Laboratory for assistance with clinical genotyping, Mark Marzinke and the JHMI lab for plasma drug level testing, Jonathan Spindler and Mary Kearney at NCI who performed SGS, Joshua Cyktor and John Mellors at the U. of Pittsburgh who performed iSCA; and Robert M. Grant and Patricia Defechereux from UCSF and the SeroPrEP study.

